



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: John Kroeker et al.  
Serial No. 09/918,733  
Filing Date: July 31, 2001  
Title: METHOD OF AND SYSTEM FOR IMPROVING ACCURACY IN A  
SPEECH RECOGNITION SYSTEM  
Docket No: 57622-045 (ELZK-5)

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CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail under 37 CFR 1.8(a) in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on the date indicated below.

Date: 8/20/01

Lisa Robillard  
Lisa Robillard

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Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicant and his attorney are aware of the following publications and information, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. This Statement is being filed before the receipt of any Official Action from the Patent and Trademark Office. A full copy of each cited publication is enclosed.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicant understands that the Examiner will make an independent evaluation of the cited publications.

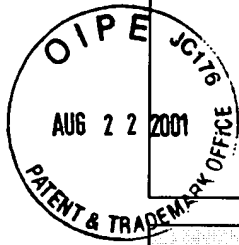
No additional costs are believed to be due in connection with the filing of this disclosure.  
However, please charge any necessary fees to our Deposit Account No. 501133.

Respectfully submitted,

Date: 8/20/01



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Modified Form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	09/918,733
Filing Date	July 31, 2001
First Named Inventor	John Kroeker et al. .
Group Art Unit	not yet assigned
Examiner Name	not yet assigned
Attorney Docket Number	57622-045 (ELZK-5)

**U.S. PATENT DOCUMENTS**

Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate
	AA	5,428,707	06/1995	Gould et al.	395	2.4	11/1992
	AB	5,163,085	11/1992	Sweet et al.	379	89	12/1989
	AC	4,430,726	02/1984	Kasday	364	900	06/1981
	AD	5,909,665	06/1999	Kato	704	241	05/1997
	AE	5,799,279	08/1998	Gould et al.	704	275	11/1995
	AF	5,983,177	11/1999	Wu et al.	704	244	12/1997
	AG	6,064,957	05/2000	Brandow et al.	704	235	08/1997
	AH	6,023,675	02/2000	Bennett et al.	704	235	11/1998
	AI	6,067,517	05/2000	Bahl et al.	704	256	02/1996
	AJ	5,960,399	09/1999	Barclay et al.	704	270	12/1997
	AK	4,831,554	05/1989	Storace et al.	364	519	04/1986

**FOREIGN PATENT DOCUMENTS**

Exam Initials	Cite No.	Foreign Patent Document Office Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes	No

**OTHER NON PATENT LITERATURE DOCUMENTS**

Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.

\* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, U.S.S.N. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature7		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.



#(2) 0400 08-13-01  
PATENT  
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Application of: John Kroeker et al.  
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Lisa Robillard  
Lisa Robillard

Assistant Commissioner for Patents  
Washington, DC 20231

LETTER OF TRANSMITTAL

Transmitted herewith for filing are the following:

- ☒ Information Disclosure Statement (2 pages);
- ☒ Form PTO-1449 (1 page);
- ☒ Copies of Cited References (11); and
- ☒ Return Postcard.

No additional costs are believed to be due in connection with this submission. However, please charge any necessary fees and/or credit any overpayment to Deposit Account No. 50-1133.

Respectfully submitted,

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